

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷: A61K 31/716, C08B 37/00, C08L 5/00	A1	(11) International Publication Number: WO 00/18411 (43) International Publication Date: 6 April 2000 (06.04.00)
(21) International Application Number: PCT/US99/22144 (22) International Filing Date: 23 September 1999 (23.09.99) (30) Priority Data: 09/161,047 25 September 1998 (25.09.98) US 09/325,152 3 June 1999 (03.06.99) US (63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US 09/325,152 (CON) Filed on 3 June 1999 (03.06.99) (71) Applicant (for all designated States except US): THE COLLABORATIVE GROUP, LTD. [US/US]; 50 East Loop Road, Stony Brook, NY 11790 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): PATCHEN, Myra, L. [US/US]; 1612 Worcester Road A522, Framingham, MA 01705 (US). JAMAS, Spiros [US/US]; 67 Mt. Vernon Street, Boston, MA 02108 (US). EASSON, D., Davidson, Jr. [US/US]; 47 Olde Colony Drive, Shrewsbury, MA 01545 (US). OSTROFF, Gary, R. [US/US]; 301 Bridle Path, Worcester, MA 01604 (US).		(74) Agents: CARROLL, Alice, O. et al.; Hamilton, Brook, Smith & Reynolds, P.C., Two Militia Drive, Lexington, MA 02421 (US). (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: VERY HIGH MOLECULAR WEIGHT β -GLUCANS (57) Abstract The invention pertains to compositions and therapeutic and prophylactic methods for treating/preventing infections in an animal or human by administering a soluble β -glucan composition comprising β -glucan molecules having an average molecular weight of at least 1,000,000 daltons, as determined by multi-angle laser light scattering (VHMW-glucan).		

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

-1-

VERY HIGH MOLECULAR WEIGHT β -GLUCANS

RELATED APPLICATIONS

This application claims priority to U.S. Serial Number 09/325,152, filed June 3, 1999, which is a continuation of and claims priority to U.S. Serial Number 09/161,047, filed September 25, 1998 (now abandoned), the entire teachings of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

Underivatized, aqueous soluble $\beta(1,3)$ -glucan (also known as PGG-glucan, triple helix-glucan (TH-glucan) or Betafectin®) is a novel and unique soluble β -glucan manufactured through a proprietary process. The biological activity of this molecule is clearly distinguishable from particulate or other soluble β -glucans. Numerous laboratories have reported direct induction of arachidonic acid metabolites (Czop *et al.*, *J. Immunol.* 141(9):3170-3176 (1988)), cytokines (Abel and Czop, *Intl. J. Immunopharmacol.* 14(8):1363-1373 (1992); Doita *et al.*, *J. Leuk. Biol.* 14(2):173-183 (1991)) and oxidative burst (Cain *et al.*, *Complement* 4:75-86 (1987); Gallin *et al.*, *Int. J. Immunopharmacol.* 14(2):173-183 (1992)) by both particulate and soluble forms of β -glucans. In contrast, underivatized, aqueous soluble $\beta(1,3)$ -glucan does not directly activate leukocyte functions such as oxidative burst activity (Mackin *et al.*, *FASEB J.* 8:A216 (1994)), cytokine secretion (Putsiaka *et al.*, *Blood* 82:3695-3700 (1993)) or proliferation (Wakshull *et al.*, *J. Cell. Biochem. suppl.* 18A:22 (1994)). Instead, underivatized, aqueous soluble $\beta(1,3)$ -glucan primes cells for activation by secondary stimuli (Mackin *et al.* (1994); Brunke-Reese and Mackin, *FASEB J.* 8:A488 (1994); and Wakshull *et al.* (1994)).

The biological activity of β -glucans is mediated through specific receptors located on target cells. Several groups of investigators have described receptors which bind to and mediate phagocytosis of particulate β -glucan preparations (e.g., zymosan-like particles; Goldman (*Immunology* 63(2):319-324 (1988); *Exp. Cell. Res.* 174(2):481-490 (1988); Engstad and Robertsen, *Dev. Comp. Immunol.* 18(5):397-408 (1994); Muller *et al.*, *Res. Immunol.* 145:267-275 (1994)); Czop, *Advances in*

Immunol. 38:361,398 (1986)); and have partially characterized these receptors (Czop and Kay, *J. Exp. Med.* 173:1511-1520 (1991); Szabo *et al.*, *J. Biol. Chem.* 270:2145-2151 (1995)). The leukocyte complement receptor 3 (CR3, also known as MAC 1 or CD11b/CD18) has been reported to bind both particulate and some soluble β -glucans, as well as other polysaccharides (Thornton *et al.*, *J. Immunol.* 156:1235-1246 (1996)). A soluble aminated β -glucan preparation has been shown to bind to murine peritoneal macrophages (Konopski *et al.*, *Biochim. Biophys. Acta* 1221:61-65 (1994)), and a phosphorylated β -glucan derivative has been reported to bind to monocyte cell lines (Muller *et al.*, *J. Immunol.* 156:3418-3425 (1996)).

10

SUMMARY OF THE INVENTION

The present invention relates to β -glucan compositions comprising β -glucan molecules having an average molecular weight of at least 1,000,000 daltons, as determined by multi-angle laser light scattering (MALLS), and referred to herein as very high molecular weight glucans (VHMW-glucans). The VHMW-glucans are soluble in aqueous solutions and are underivatized, i.e., the VHMW-glucans have not been substantially modified by substitution with functional groups. The VHMW-glucans of the invention have a high affinity for the TH-glucan receptor on human monocytes, as well as a novel receptor located primarily on rat NR8383 macrophages, particularly alveolar macrophages. The β -glucan compositions of the present invention have been shown to enhance host immune defense mechanisms to infection, but do not induce an inflammatory response. Specifically, VHMW-glucans retain a specific subset of immunological properties common to β -glucans but uniquely do not induce the production of detrimental pro-inflammatory cytokines, such as TNF- α , IL-1 β and IL-6. Further, VHMW-glucans have been shown to accelerate bacterial clearance and increase platelet counts in both rat and mice infection models.

The VHMW-glucans can be formulated into a composition appropriate for parenteral (e.g., intravenous, interparenteral, subcutaneous, intramuscular), topical, oral or intranasal administration to humans and animals as an anti-infective to combat infection associated with burns, surgery, chemotherapy, bone marrow disorders and other conditions in which the immune system may be compromised. The VHMW-glucan compositions of the present invention can be used in therapeutic and/or prophylactic treatment regimens of humans and animals to enhance their

immune response, without stimulating the production of certain biochemical mediators (e.g., IL-1 β , TNF- α and IL-6) that can cause detrimental side effects, such as fever and inflammation. The VHMW-glucan compositions can be used for therapeutic or prophylactic application, such as immunosuppression, hematopoiesis, wound healing, prevention and treatment of infectious disease, platelet production, peripheral blood precursor cell mobilization, and induction and enhancement of myelopoeisis and thrombopoeisis.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a high resolution gel permeation chromatography (HRGPC) schematic of VHMW-glucan.

Fig. 2 is a graph illustrating competition binding data for TH-glucan (diamonds; lower curve) and VHMW-glucan (boxes; upper curve) to the glucan receptor on rat NR8383 macrophage cells.

Fig. 3 is a bar graph illustrating the anti-infective activity of VHMW-glucan intramuscularly administered to rats at 48 hr, 24 hr, 4 hr before and 4 hr after intraperitoneal challenge with 10^8 *S. aureus* CFU. Blood was collected from animals 48 hr after challenge and cultured for CFU content.

Fig. 4 is a bar graph illustrating WBC hematopoietic activity in rats challenged with *S. aureus*. Rats were intramuscularly administered either saline or VHMW-glucan at 48 hours, 24 hours and 4 hours before and 4 hours after intraperitoneal challenge with 10^8 *S. aureus* CFU. Blood was collected from animals 48 hr after challenge.

Fig. 5 is a bar graph illustrating platelet (PLT) hematopoietic activity in rats challenged with *S. aureus*. Rats were intramuscularly administered either saline or VHMW-glucan at 48 hours, 24 hours, 4 hours before and 4 hours after intraperitoneal challenge with 10^8 *S. aureus* CFU. Blood was collected from animals 48 hr after challenge and cultured for CFU content.

Fig. 6 is a bar graph illustrating the effect of VHMW-glucan on spleen CFU levels in mice challenged with *E. coli*. VHMW-glucan was administered as a single dose one hour after challenge.

Fig. 7 is a bar graph illustrating the effect of different VHMW-glucan treatments on spleen CFU levels in mice challenged with *E. coli* (multiple dosages).

Fig. 8 is a bar graph illustrating the effect of VHMW-glucan on sterile spleen CFU levels in mice challenged with *E. coli* (multiple dosages).

Fig. 9 is a bar graph illustrating the effect of VHMW-glucan on blood CFU levels in mice challenged with *E. coli*. VHMW-glucan was administered BID (twice daily) to day 3 after challenge.

Fig. 10 is a bar graph illustrating the effect of VHMW-glucan on spleen CFU levels in mice challenged with *E. coli*. VHMW-glucan was administered BID to day 3 after challenge.

Fig. 11 is a bar graph illustrating the effect of VHMW-glucan on sterile blood CFU levels in mice challenged with *E. coli*. VHMW-glucan was administered BID to day 3 after challenge.

Fig. 12 is a bar graph illustrating the effect of VHMW-glucan on sterile liver CFU levels in mice challenged with *E. coli*. VHMW-glucan was administered BID to day 3 after challenge.

Fig. 13 is a bar graph illustrating the effect of VHMW-glucan on blood WBC levels in mice challenged with *E. coli*. VHMW-glucan was administered BID to day 3 after challenge. The insert is a bar graph illustrating the effect of VHMW-glucan on absolute neutrophil count (ANC/ml $\times 10^6$) in mice challenged with *E. coli*.

Fig. 14 is a graph illustrating the effect of VHMW-glucan therapy on serum TNF- α levels in mice challenged with *E. coli*. Saline- *E. coli* (diamond); VHMW-*E. coli* (circle); normal (box).

Fig. 15 is a graph illustrating the effect of VHMW-glucan therapy on serum IL-1 β levels in mice challenged with *E. coli*. Saline-*E. coli* (diamond); VHMW-*E. coli* (circle); normal (box).

Fig. 16 is a graph illustrating the effect of VHMW-glucan therapy on serum IL-6 levels in mice challenged with *E. coli*. Saline-*E. coli* (diamond); VHMW-*E. coli* (circle); normal (box).

The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of preferred embodiments of the invention, as illustrated in the accompanying drawings.

DETAILED DESCRIPTION OF THE INVENTION

The present invention pertains to soluble β -glucan compositions comprising β -glucan molecules having an average molecular weight of at least 1,000,000

daltons, as determined by MALLS. In a preferred embodiment, the β -glucan molecules have an average molecular weight of from about 2,000,000 to about 4,000,000 daltons, as determined by MALLS. These β -glucan molecules are defined collectively herein as very high molecular weight-glucans (VHMW-glucans).

5 The VHMW-glucans of this invention are composed of a (1-3)- β -linked glucose backbone with periodic (1-6)- β -linked (1-3)- β -glucose branches and are soluble in aqueous medium under physiological conditions. A "soluble β -glucan composition", as the term is used herein, is an underivatized VHMW-glucan composition which dissolves in an aqueous medium at room temperature (about 20-
10 25°C) and neutral pH (from about pH 5.5 to about 7.5). An "aqueous medium", as the term is used herein, refers to water or a water-rich phase, particularly physiologically acceptable aqueous phases, including phosphate-buffered saline, saline and dextrose solutions. The term "physiological conditions", as used herein, refers to physiological pH, about pH 7, and physiological temperature, about 37°C.
15 VHMW-glucans are not derivatized, i.e., they have not been substantially modified by substitution with functional (e.g., changed) groups or other covalent attachments. For example, they are not derivatized by phosphorylation, sulphation or amination. In a preferred embodiment, under physiological conditions the β -glucan composition consists essentially of β -glucan chains in one or more triple helix aggregate
20 conformations.

 The VHMW-glucan composition can be prepared from insoluble glucan particles (e.g., whole glucan particles), preferably derived from yeasts, according to the methods described in U.S. Patent Nos. 5,622,939, 5,783,569 and 5,817,643. A general procedure for the preparation of insoluble yeast glucans is provided by
25 Manners *et al.*, *Biol. J.* 135 : 19-30 (1973). Glucan particles which are particularly useful as starting materials in the present invention are whole glucan particles as described by Jamas *et al.* in U.S. Patent Nos. 4,810,646, 4,992,540, 5,082,936, 5,028,703 and 5,622,939, the teachings of each of which are incorporated herein by reference in their entirety. The source of the whole glucan particles can be any
30 fungal organism which contains β -glucans in its cell walls. Particularly useful are whole glucan particles obtained from the strains *Saccharomyces cerevistae* R4 (NRRL Y-15903) and R4 Ad (ATCC No. 74181). Other strains of yeast which are suitable sources of whole glucan particles include *Saccharomyces delbruekii*, *Saccharomyces rosei*, *Saccharomyces microellipsodes*, *Saccharomyces*

carlsbergensis, *Schizosaccharomyces pombe*, *Kluyveromyces lactis*, *Kluyveromyces fragilis*, *Kluyveromyces polysporus*, *Candida albicans*, *Candida cloacae*, *Candida tropicalis*, *Candida utilis*, *Hansenula wingei*, *Hansenula arni*, *Hansenula henricii* and *Hansenula americana*.

5 The VHMW-glucan portion can be separated from the starting soluble β -glucan composition using a variety of techniques. In a preferred embodiment, the very high molecular weight portion is separated from the remainder of the starting composition using gel permeation chromatography (GPC). In this embodiment, the very high molecular weight portion is separated from the starting composition by a
10 method comprising the steps of (1) directing a β -glucan composition through a gel permeation chromatography column, and (2) collecting a high molecular weight fraction or a high molecular weight portion of a fraction of the starting composition. In one embodiment, the starting β -glucan composition is separated into two or more fractions by GPC. In this case, the faster eluting fraction is a very high molecular
15 weight portion of the starting composition and all or a part of this fraction can be collected. In another embodiment, the starting β -glucan composition elutes as a single fraction or two or more overlapping fractions. In this case, the leading edge of the fraction or overlapping fractions can be collected.

 The "leading edge" of a fraction eluting from a chromatography column is the
20 portion of the fraction which elutes first. For example, if the fraction elutes in a given volume of eluent, the first 10 to 50% by volume of the fraction can be collected. The amount of the VHMW-glucan fraction to be collected depends upon the nature of the original β -glucan composition, for example, the distribution of molecular weights and conformations, and the chromatography conditions, such as
25 the type of GPC column employed, the eluent and the flow rate. Optimization of these parameters is within the ordinary level of skill in the art. VHMW-glucan molecules are present in the highest molecular weight fraction and thus are expected to elute first. Therefore, if the portion collected has an aggregate number under physiological conditions which is lower than desired, the original β -glucan
30 composition can be fractionated again, and a smaller leading edge portion can be collected to obtain a VHMW-glucan. Preferably, the parameters are optimized using an analytical scale GPC column.

 The molecular weight of the VHMW-glucan fraction can be determined using a variety of methods. For example, suitable methods include light scattering

techniques, such as multi-angle laser light scattering (MALLS), on-line viscometry, ultracentrifugation, osmometry, and other methods known in the art of polymer science. Preferably, the fraction should contain β -glucans having a molecular weight of at least 1,000,000 daltons, as determined by MALLS.

- 5 The VHMW-glucans of this invention have a high affinity for the β -glucan receptor on human monocytes, now identified as a class of glycosphingolipids (U.S. Patent application Serial No. 08/990,125, filed December 12, 1997). In addition, VHMW-glucan bound to the receptor on rat NR8383 alveolar macrophages and has been identified as a proteinaceous receptor (U.S. Patent application Serial No. 10 09/140,196, filed August 26, 1998 and U.S. Patent application Serial No. 09/160,922, filed September 25, 1998, Attorney Docket No. ABY97-01A), as determined by competition binding assays. Fig. 2 shows the competition binding data for the TH-glucan (lower curve) and VHMW-glucans (upper curve) to the proteinaceous receptor on NR8383 cells.
- 15 The VHMW-glucans have been shown to accelerate bacterial clearance and increase platelet (PLT) counts in a rat infection model with *Staphylococcus aureus* (*S. aureus*) challenge. Administration of VHMW-glucan prior to and after intraperitoneal challenge with *S. aureus*, significantly reduced blood CFU levels (1.55 ± 0.15 compared to 2.10 ± 0.14 log CFU/ml in controls) and slightly elevated 20 white blood cells levels (17.78 ± 0.67 compared to $15.60 \pm 0.50 \times 10^6$ /ml in controls) by 48 hrs. after *S. aureus* challenge. Additionally, rats treated with VHMW-glucan also exhibited significantly (approximately 40% greater than rats treated with saline) elevated peripheral platelet counts (767 ± 48 compared to $526 \pm 38 \times 10^6$ /ml in controls). Based upon these data, it can be concluded that VHMW- 25 glucan possesses myelopoietic (*in vivo*) and thrombopoietic (*in vivo*) activity, as well as being an effective anti-infective agent (Fig. 4, Fig. 15). A significant advantage of the VHMW-glucan is that it augments leukocyte microbicidal activity and induces hematopoiesis without inducing pro-inflammatory or hematopoietic cytokine production. In mice, there were no measurable amounts of serum IL-1 β , IL-3, IL-6, 30 TNF- α or GM-CSF following intravenous administration of 1 mg/kg of VHMW-glucan.

The ability of VHMW-glucan to treat *E. coli* sepsis in a murine model (sublethal infection) was also evaluated. Balb/c mice were intraperitoneally (IP) challenged with 10^8 *E. coli* colony-forming units (CFU) and VHMW-glucan

administered intramuscularly (IM) at doses ranging from 1 to 16 mg/kg at various times after bacteria challenge. Efficacy evaluations included measurement of CFU clearance from the peritoneal exudate fluid, spleen, liver, and blood on days 1, 3 and 6 after bacteria challenge. Administration of 8 mg/kg of VHMW-glucan twice daily starting 1 hour after bacteria challenge was the most efficacious dose and regimen. With this dose regimen, significantly accelerated clearance of bacteria from all tissues evaluated was observed. Reduced CFU levels correlated with significantly elevated absolute neutrophil counts. Furthermore, reduced CFU levels were correlated with elevated leukocyte oxidative burst activity. These responses occurred in the absence of any alteration of TNF- α , IL-1 β and IL-6 levels in the infected mice. Single and multiple administration of VHMW-glucan after *E. coli* challenge accelerated clearance of bacteria from tissues were evaluated. However, multiple doses of VHMW-glucan are preferred to sustain CFU clearance at later days, post-challenge. The results show that VHMW-glucan can be therapeutically administered to a mammal having established infections.

The soluble β -glucan compositions of the present invention have utility as safe, effective, therapeutic and/or prophylactic agents, either alone or as adjuvants, to enhance the immune response in humans and animals. Soluble β -glucans of the invention preferably selectively activate only those components that are responsible for the initial response to infection, without stimulating or priming the immune system to release certain biochemical mediators (e.g., IL-1, TNF- α , IL-6, IL-3 and GM-CSF) that may cause adverse side effects. As such, the present soluble glucan composition can be used to prevent or treat infectious diseases in malnourished patients, patients undergoing surgery and bone marrow transplants, patients undergoing chemotherapy or radiotherapy, neutropenic patients, HIV-infected patients, trauma patients, burn patients, patients with chronic or resistant infections such as those resulting from myelodysplastic syndrome, and the elderly, all of who may have weakened immune systems. An immunocompromised individual is generally defined as a person who exhibits an attenuated or reduced ability to mount a normal cellular or humoral defense to challenge by infectious agents, e.g., viruses, bacteria, fungi and protozoa. A protein malnourished individual is generally defined as a person who has a serum albumin level of less than about 3.2 grams per deciliter (g/dl) and/or unintentional weight loss of greater than 10% of usual body weight.

More particularly, the method of the invention can be used to therapeutically or prophylactically treat animals or humans who are at a heightened risk of infection due to imminent surgery, injury, illness, radiation or chemotherapy, or other condition which deleteriously affects the immune system. The method is useful to
5 treat patients who have a disease or disorder which causes the normal immune response to be reduced or depressed, such as HIV infection (AIDS). For example, the method can be used to pre-initiate the immune response in patients who are undergoing chemotherapy or radiation therapy, or who are at a heightened risk for developing secondary infections or post-operative complications because of a
10 disease, disorder or treatment resulting in a reduced ability to mobilize the body's normal responses to infection. Treatment with the soluble glucans has been shown to be particularly effective in mobilizing the host's normal immune defenses, thereby engendering a measure of protection from infection in the treated host.

The present composition is generally administered to an animal or a human in
15 an amount sufficient to produce immune system enhancement. The mode of administration of the soluble glucan can be oral, enteral, parenteral, intravenous, subcutaneous, intraperitoneal, intramuscular, topical or intranasal. The form in which the composition will be administered (e.g., powder, tablet, capsule, solution, emulsion) will depend on the route by which it is administered. The quantity of the
20 composition to be administered will be determined on an individual basis, and will be based at least in part on consideration of the severity of infection or injury in the patient, the patient's condition or overall health, the patient's weight and the time available before surgery, chemotherapy or other high-risk treatment. In general, a single dose will preferably contain approximately 0.01 to approximately 100 mg of
25 glucan per kilogram of body weight, and preferably from about 0.1 to 10 mg/kg. The dosage for topical application will depend upon the particular wound to be treated, the degree of infection and severity of the wound. A typical dosage for wounds will be from about 0.001 mg/mL to about 2 mg/mL, and preferably from about 0.01 to about 0.5 mg/mL.

30 In general, the compositions of the present invention can be administered to an individual periodically as necessary to stimulate the individual's immune response. An individual skilled in the medical arts will be able to determine the length of time during which the composition is administered and the dosage, depending on the physical condition of the patient and the disease or disorder being

treated. As stated above, the composition may also be used as a preventative treatment to pre-initiate the normal defenses which the body mobilizes against infections.

Soluble β -glucan compositions can be used for the prevention and treatment of infections caused by a broad spectrum of bacterial, fungal, viral and protozoan pathogens. The prophylactic administration of soluble β -glucan to a person undergoing surgery, either preoperatively, intraoperatively and/or post-operatively, will reduce the incidence and severity of post-operative infections in both normal and high-risk patients. For example, in patients undergoing surgical procedures that are classified as contaminated or potentially contaminated (e.g., gastrointestinal surgery, hysterectomy, cesarean section, transurethral prostatectomy) and in patients in whom infection at the operative site would present a serious risk (e.g., prosthetic arthroplasty, cardiovascular surgery), concurrent initial therapy with an appropriate antibacterial agent and the present soluble glucan preparation will reduce the incidence and severity of infectious complications.

In patients who are immunosuppressed, not only by disease (e.g., cancer, AIDS) but by courses of chemotherapy and/or radiotherapy, the prophylactic administration of the soluble β -glucan will reduce the incidence of infections caused by a broad spectrum of opportunistic pathogens including many unusual bacteria, fungi and viruses. Therapy using soluble β -glucan has demonstrated a significant radio-protective effect with its ability to enhance and prolong macrophage function and regeneration and, as a result enhance resistance to microbial invasion and infection.

In high risk patients (e.g., over age 65, diabetics, patients having cancer, malnutrition, renal disease, emphysema, dehydration, restricted mobility, etc.) hospitalization frequently is associated with a high incidence of serious nosocomial infection. Treatment with soluble β -glucan may be started empirically before catheterization, use of respirators, drainage tubes, intensive care units, prolonged hospitalizations, etc. to help prevent the infections that are commonly associated with these procedures. Concurrent therapy with antimicrobial agents and the soluble β -glucan is indicated for the treatment of chronic, severe, refractory, complex and difficult to treat infections.

The compositions administered in the method of the present invention can optionally include other components, in addition to the soluble β -glucan. The other

components that can be included in a particular composition are determined primarily by the manner in which the composition is to be administered. For example, a composition to be administered orally in tablet form can include, in addition to soluble β -glucan, a filler (e.g., lactose), a binder (e.g., carboxymethyl cellulose, gum Arabic, gelatin), an adjuvant, a flavoring agent, a coloring agent and a coating material (e.g., wax or plasticizer). A composition to be administered in liquid form can include soluble β -glucan and, optionally, an emulsifying agent, a flavoring agent and/or a coloring agent. A composition for parenteral administration can be mixed, dissolved or emulsified in water, sterile saline, PBS, dextrose or other biologically acceptable carrier. A composition for topical administration can be formulated into a gel, ointment, lotion, cream or other form in which the composition is capable of coating the site to be treated, e.g., wound site.

The soluble glucan composition of the invention can also be administered topically to a wound site to stimulate and enhance wound healing and repair.

Wounds due to ulcers, acne, viral infections, fungal infections or periodontal disease, among others, can be treated according to the methods of this invention to accelerate the healing process. Alternatively, the soluble β -glucan can be injected into the wound or afflicted area. In addition to wound repair, the composition can be used to treat infection associated therewith or the causative agents that result in the wound.

A composition for topical administration can be formulated into a gel, ointment, lotion, cream or other form in which the composition is capable of coating the site to be treated, e.g., wound site. The dosage for topical application will depend upon the particular wound to be treated, the degree of infection and severity of the wound. A typical dosage for wounds will be from about 0.01 mg/mL to about 2 mg/mL, and preferably from about 0.01 to about 0.5 mg/mL.

Another particular use of the compositions of this invention is for the treatment of myelodysplastic syndrome (MDS). MDS, frequently referred to as preleukemia syndrome, is a group of clonal hematopoietic stem cell disorders characterized by abnormal bone marrow differentiation and maturation leading to peripheral cytopenia with high probability of eventual leukemic conversion.

Recurrent infection, hemorrhaging and terminal infection resulting in death typically accompany MDS. Thus, in order to reduce the severity of the disease and the frequency of infection, compositions comprising modified glucan can be chronically administered to a patient diagnosed as having MDS according to the methods of this

invention, in order to specifically increase the infection fighting activity of the patient's white blood cells. Other bone marrow disorders, such as aplastic anemia (a condition of quantitatively reduced and defective hematopoiesis) can be treated to reduce infection and hemorrhage that are associated with this disease state.

- 5 The soluble β -glucan compositions of the present invention enhance the non-specific defenses of mammalian mononuclear cells and significantly increases their ability to respond to an infectious challenge. The unique property of soluble glucan macrophage activation is that it does not result in increased body temperatures (i.e., fever) as has been reported with many non-specific stimulants of those defenses.
- 10 This critical advantage of soluble glucan may lie in the natural profile of responses it mediates in white blood cells.

- The soluble β -glucan compositions of the invention are also of use in methods of inducing or enhancing mobilization of peripheral blood precursor cells, elevating circulating levels of peripheral blood precursor cells and enhancing or
- 15 facilitating hematopoietic reconstitution or engraftment in mammals, including humans. Peripheral blood precursor cells include stem cells and early progenitor cells which, although more differentiated than stem cells, have a greater potential for proliferation than stem cells. These methods comprise administering to the mammal an effective amount of a β -glucan composition of the present invention.
- 20 Such methods are of use, for example, in the treatment of patients undergoing cytoreductive therapy, such as chemotherapy or radiation therapy.

- In addition, it has been demonstrated herein that the VHMW-glucan preparation of the present invention possesses an unexpected platelet stimulating property. This property can be exploited in a therapeutic regimen for use as an
- 25 adjuvant in parallel with radiation or chemotherapy treatment. Radiation and chemotherapy are known to result in neutropenia (reduced polymorphonuclear (PMN) leukocyte cell count) and thrombocytopenia (reduced platelet count). At present, these conditions are treated by the administration of colony-stimulating factors such as GM-CFS and granulocyte colony-stimulating factor (G-CSF). Such
- 30 factors are effective in overcoming neutropenia, but fail to impact upon thrombocytopenia. Thus, the platelet stimulating property of the VHMW-glucan preparation of this invention can be used, for example, as a therapeutic agent to prevent or minimize the development of thrombocytopenia which limits the dose of the radiation or chemotherapeutic agent which is used to treat cancer.

The invention is further illustrated by the following Examples which are not intended to be limiting in any way. All references cited herein are incorporated in their entirety.

EXAMPLES

5 EXAMPLE 1: Prophylactic Administration of VHMW-Glucan in Rat Infection Model

A. Materials and Methods

β -Glucans

VHMW-glucan (lot PB3-00171; MW ~ 1,000,000 D) and triple helix-glucan (TH-glucan; lot VB4-0003; MW ~ 150,000 D) were produced by extraction of whole
10 glucan particles from *S. cerevisiae*, as described in U.S. Patent No. 5,622,939 (Alpha-Beta Technology, Inc., Worcester, MA). Molecular weight determinations were performed by multi-angle laser light scattering (MALLS). The physiochemical characteristics of these VHMW-glucan are illustrated in Fig. 1. The endotoxin level in both glucan preparations was less than 0.06 EU/mg based on the *Limulus*
15 ameocyte lysate (LAL) assay.

Competition Studies

Cells of the NR8383 rat alveolar macrophage cell line are known to be capable of strongly responding to TH-glucan. Michalek, M. *et al.*, *J. Leukoc. Biol.* 64:337-344, (1998); Wakshull, E. *et al.*, *J. Leukoc. Biol.* 1 :10 (1998). In these cells,
20 competition for ³H-TH-glucan binding was used to assess VHMW-glucan binding to the glucan receptor on NR8383 cells. NR8383 cells were grown in Ham's F-12 medium (Life Technologies, Grand Island, NY) containing 15% fetal bovine serum (FBS; Sigma, St. Louis, MO) (F-12/FBS) using standard tissue culture techniques. Cells were harvested at a cell density of approximately 3 x 10⁵ cells/ml by scraping
25 and centrifuging at 400 x g for 5 min. at room temperature. Cells were resuspended to 3 x 10⁶ cells/ml in phosphate buffered saline (PBS; Life Technologies, Grand Island, NY) and combined with ³H-TH-glucan (1 μ g/ml final) and either saline or various concentrations of TH-glucan or VHMW-glucan competitor. The binding
30 reaction was allowed to proceed for 1 hr at 37° C. At the end of the incubation period, cells were washed twice with PBS by centrifugation, solubilized with 0.1 N NaOH, and radioactivity quantitated by liquid scintillation chromatography. To

prepare ^3H -TH-glucan, TH-glucan was incubated with NaIO_4 (10 mg/ml; Sigma, St. Louis, MO) in sterile pyrogen-free (SPF) water for 72 hr at room temperature. The periodate was quenched by the addition of 0.5 ml ethylene glycol. The oxidized TH-glucan was dialyzed against SPF water, and then reductively labeled with 100 mCi of
5 NaB^3H_4 (New England Nuclear, Boston, MA). ^3H -TH-glucan was separated from tritiated low molecular weight degradation products by dialysis and ultrafiltration. Purity of the labeled product was assessed by gel permeation chromatography.

Signal Transduction Studies

The *in vitro* biological responsiveness of NR8383 cells to VHMW-glucan
10 was evaluated based on activation of a unique p65/p48 κB -like nuclear transcription factor (NF- κB). NF- κB was assayed using electrophoretic mobility shift assays (EMSA). Adams, D.S., *et al.*, *J. Leukoc. Biol.* 62:865-873 (1997); Wakshull, E. *et al.*, *J. Leukoc. Biol.* Supplement 1:10 (1998). NR8383 cells were incubated 1 hr at 37° C in F-12/FBS containing VHMW-glucan (3 $\mu\text{g}/\text{ml}$). Following incubation,
15 nuclear extracts were prepared from the cells as described previously. Adams, D.S., *et al.*, *J. Leukoc. Biol.* 62:865-873 (1997); Wakshull, E. *et al.*, *J. Leukoc. Biol.* Supplement 1:10 (1998). All buffers were freshly supplemented with dithiothreitol (DTT; 0.5 mM), protease inhibitor cocktail, and phosphatase inhibitor cocktail. Protein concentrations were determined by Bradford assay (Pierce, Rockford, IL)
20 against a bovine serum albumin (BSA) standard. The NF- κB consensus synthetic duplex probe used for EMSAs was previously described in Adams, D. S., *et al.*, *J. Leuko. Biol.*, 62:865-873 (1997). ^{32}P -labeled duplex probe was prepared with polynucleotide kinase. Labeled probe (0.5 pmol) was mixed with 3 μg of nuclear extract protein in a solution containing 10 mM Tris-HCl (pH 7.5), 50 mM NaCl, 1m
25 M EDTA, 1 mM DTT, 5% glycerol, 0.02% β -mercaptoethanol, 0.1-1.0 μg of poly(dI/dC) (Pharmacia, Piscataway, NJ). Reactions were incubated at 25° C for 20 min. Then electrophoresed under non-denaturing conditions through 4% polyacrylamide gels in 0.5X TBE buffer. Bands were visualized by autoradiography.

Animals, Drug Administration and Bacterial Challenge for In Vivo Anti-Infective 30 and Hemotopoietic Studies

Virus-antibody free male Wistar rats were purchased from Charles River Breeding Laboratories (Wilmington, MA). Animals were housed according to the

National Institutes of Health guidelines, provided food and water *ad libitum*, and quarantined for 7 days prior to being entered into experiments. Body weights of rats ranged from 160 g to 210 g at the time of experimentation. Saline or VHMW-glucan (1 mg/kg) was administered intramuscularly (IM) using a 25 gauge needle at 48 hr, 24 hr and 4 hr before and at 4 hr after challenge with 10^8 colony-forming units (CFU) of a multiple antibiotic resistant clinical isolate of *S. aureus*. Stock bacteria were expanded in Nutrient Broth (NB; Difco Laboratories, Detroit, MI) for 8 hr at 37° C and aliquots containing 20% sterile glycerol frozen at -80° C until used. To determine the viability of the aliquoted bacteria, a frozen sample was thawed, serially diluted, plated onto Nutrient Agar (NA; Difco Laboratories, Detroit, MI), and bacterial colonies counted after a 24 hr incubation at 37° C. To prepare the bacterial inoculum, an aliquot of bacteria was thawed and diluted to the desired number of CFU/ml in PBS (Gibco Life Technologies, Grand Island, NY) containing 1% dextran sulfate and a final concentration of 5% barium sulfate (wt/vol). A 0.5 ml aliquot of appropriately diluted bacteria was aseptically placed into 2 cm long x 0.5 cm diameter gelatin capsules (Eli-Lilly Inc., Indianapolis, IN) and the capsules were implanted into the peritoneal cavity of rats. To implant the capsules, rats were anesthetized by IM injection of a mixed anesthetic cocktail consisting of Ketamine (Fort Dodge Laboratories Inc., Fort Dodge, IA), PromAce (Ayerst Laboratories Inc., Rouses Point, NY), Xylazine (Phoenix Scientific Inc., St. Joseph, MO) and saline (750 mg, 10 mg, 100 mg, saline to 20 ml) using a 25 gauge needle. The anesthesia was adjusted for each rat based on body weight by administering 0.0019 ml/g body weight. After administering the anesthesia, the abdomen of each rat was shaved, cleaned with iodine solution, and a 1.5 cm anterior midline incision was made through the abdominal wall and the peritoneum. The gelatin capsule containing 10^8 *S. aureus* CFU was immediately placed into the peritoneal cavity and the incision was closed with interrupted 3-D silk sutures. The total duration of surgery was less than 2 min.

Blood Sampling and Analysis

30 Animals were anesthetized with O₂:CO₂ (1:1) and 2 ml of blood was obtained by cardiac puncture using a 3 ml syringe with 20 gauge needle. Immediately after blood was collected, approximately 1.5 ml was expelled into a 1.7 ml Eppendorf centrifuge tube containing 5 units of heparin (Elkins-Sinn Inc., Cherry Hill, NJ).

Each animal was then humanely euthanized with CO₂. To obtain accurately quantifiable blood CFU levels, two concentrations of blood from each animal, 1:10 diluted and undiluted, were cultured. Twenty ml of 50° C Tryptic Soy Agar (Becton Dickinson Microbiology Systems, Cockeysville, MD) was plated into a sterile petri plate and a 0.5 ml aliquot of blood immediately added to the plate and thoroughly mixed into the agar by swirling the plate. Once the agar solidified, plates were incubated at 37° C for 48 hr, then colonies counted. Blood CFU data are expressed as log CFU/ml of blood. The remaining blood from each sample was used for blood cell counts. Total white blood cell (WBC), red blood cell (RBC), and platelet (PLT) counts were performed on a System 9010+ Hematology Analyzer (Biochem Immunosystems Inc., Allentown, PA).

In Vivo Cytokine-Induction Studies

Cytokine induction *in vivo* was evaluated in seven week old, female, Balb/c mice purchased from Taconic Farms (Germantown, NY). Mice were barrier reared and reported by the supplier to be free of adventitious viruses and pathogenic organisms. Animals were housed ten per group in plastic Micro-Barrier cages (Allentown Caging Equipment, Allentown, NJ) on hardwood-chip contact bedding and were provided food and chlorinated water *ad libitum*. Mice were allowed to acclimate to the environment of the animal facility for one week prior to being entered into experimental protocols. Mice were intravenously (IV) administered saline, 500 µg/kg *E. coli* lipopolysaccharide (LPS, Calbiochem, LaJolla, CA serotype 0127:B8) or 1 mg/kg of VHMW-glucan. At 0.5, 1.5, 3, 6, and 24 hr after drug administration, ten mice in each treatment group were anesthetized with isoflurane (AErrane®; Ohmeda PPD, Liberty Corner, NJ) and blood collected by cardiac puncture and pooled. Serum was separated from the blood and assayed for interleukin-1β (IL-1β), interleukin-3 (IL-3), interleukin-6 (IL-6), tumor necrosis factor-α (TNF-α) and granulocyte-macrophage colony-stimulating factor (GM-CSF) by ELISA using commercial kits specific for the detection of these murine proteins (R&D Systems, Minneapolis, MN).

30 *Statistical Analysis*

Unless stated otherwise, results are expressed as the mean ± standard error of the mean (SEM) of data obtained from replicate experiments. Unpaired t-tests were

performed using InStat software (GraphPad, San Diego, CA) and differences were considered significant at $p < 0.05$.

B. Results

Competition Binding Studies

- 5 Initial experiments evaluated the ability of VHMW-glucan to compete for ^3H -TH-glucan binding on NR8383 cells (Fig. 2). From the binding competition curves, it was calculated that the glucan concentration inhibiting 50% of ^3H -TH-glucan binding (IC_{50}) was 15.4 $\mu\text{g/ml}$ for VHMW glucan and 2.4 $\mu\text{g/ml}$ for TH-glucan. Further studies evaluated whether signal transduction events were induced by
- 10 VHMW-glucan. As with TH-glucan, a unique p65/p48 NF- κB -like factor was shown to be activated by VHMW-glucan (data not shown).

VHMW-glucan Induces In Vivo Anti-Infective Activity

- Additional studies evaluated the anti-infective potential of VHMW-glucan in rats challenged with *S. aureus*. Administration of VHMW-glucan to rats challenged
- 15 with *S. aureus* resulted in significantly reduced blood CFU levels at 48 hr after challenge (Fig. 3). The literature has demonstrated that cytokine induction has been associated with anti-infective activity. To evaluate whether, VHMW-glucan induces cytokines, normal mice were treated with VHMW-glucan and the serum collected for cytokine analysis. Mice injected with LPS were used as positive controls. The data
- 20 in Table 1 demonstrate that, in contrast to the IL- 1β and TNF- α cytokine responses in LPS-treated mice, VHMW-glucan treatment did not induce these pro-inflammatory cytokines.
-

Table 1
Pro-Inflammatory Cytokine Production *In Vivo*¹

	IL-1 β (LOD 7.8 pg/ml) ²			TNF- α (LOD 23.4 pg/ml) ²		
Time (hr)	Sal	VHMW	LPS	Sal	VHMW	LPS
0.5	<7.8	12	<7.8	<23.4	<23.4	1253
1.5	<7.8	13	88	<23.4	<23.4	5688
3.0	<7.8	<7.8	86	<23.4	<23.4	291
6.0	<7.8	9	46	<23.4	<23.4	56
24.0	12	8	8	<23.4	<23.4	<23.4

¹ Balb/c mice were injected IV with either saline (Sal), VHMW-glucan (VHMW; 1 mg/kg) or LPS (500 μ g/kg) and blood drawn for serum cytokine analysis by ELISA as described in the Methods and Materials. Data represent the mean of duplicate determinations.

² LOD = lowest limit of detection in specific ELISA assays.

VHMW-glucan Induces Hematopoietic Effects

In evaluating the hematopoietic effects of VHMW-glucan in rats challenged with *S. aureus*, elevated WBC counts were observed (Fig. 4). Rats treated with VHMW-glucan also exhibited significantly elevated PLT counts (Fig. 5). PLT counts in rats treated with VHMW-glucan were approximately 40% greater than rats treated with saline. Based on this unique PLT effect, studies were performed to evaluate whether VHMW-glucan may induce cytokines known to influence PLT production at various stages within the hematopoietic hierarchy. In these studies, the serum samples obtained from normal mice administered VHMW-glucan were assayed for IL-3 (multi-CSF), IL-6, and GM-CSF. The data presented in Table 2 illustrates that VHMW-glucan did not induce any of these hematopoietic factors.

Table 2 Hematopoietic Cytokine Production <i>In Vivo</i> ¹									
	GM-CSF (LOD 7.8 pg/ml) ²			IL-3 (LOD 7.8 pg/ml) ²			IL-6 (LOD 15.6 pg/ml) ²		
Time (hr)	Sal	VHMW	LPS	Sal	VHMW	LPS	Sal	VHMW	LPS
0.5	<7.8	<7.8	<7.8	<7.8	<7.8	<7.8	<15.6	<15.6	590
1.5	<7.8	<7.8	69	<7.8	<7.8	<7.8	<15.6	21	33241
3.0	<7.8	<7.8	37	<7.8	<7.8	<7.8	36	<15.6	15246
6.0	<7.8	<7.8	<7.8	<7.8	<7.8	<7.8	19	21	362
24.0	<7.8	<7.8	<7.8	19	<7.8	<7.8	35	<15.6	<15.6

¹ Balb/c mice were injected IV with either saline (Sal), VHMW-glucan (VHMW; 1mg/kg), or LPS (500 µg/kg) and blood drawn for serum cytokine analysis by ELISA as described in the Methods and Materials. Data represent the mean of duplicate determinations.

² LOD = lowest limit of detection in specific ELISA assays

EXAMPLE 2: Therapeutic Administration of VHMW-Glucan to Enhance Bacteria Clearance in a Murine *E. coli* Sepsis Model

A. Materials and Methods

20 Animals.

Virus- and antibody-free, female, Balb/c mice were purchased from Taconic Farms (Germantown, NY). Upon arrival, mice were housed in polycarbonate Micro-Barrier cages (Allentown Caging Equipment, Allentown, PA) on hardwood bedding (Sani-Chip, P.J. Murphy Forest Products Corp., Montville, NJ), with no more than ten animals per cage. Mice were provided rodent chow (RHM 3000 Laboratory Rodent Chow, Prolab Animals Diets, Agway, Inc., Syracuse, NY) and chlorinated tap water *ad libitum*. After arrival, mice were quarantined for 7 days prior to being entered into experiments. The average body weight of mice at the time of experimentation was 18 g.

Drug Administration.

VHMW-glucan (lot PB3-0017) was produced according to methods described in U.S. Patent No. 5,622,939. The endotoxin level in this glucan preparation was less than 0.06 EU/mg based on the *Limulus* ameobocyte lysate assay.

- 5 VHMW-glucan was administered intramuscularly (IM) in a 0.25 ml volume at doses of 1 mg/kg, 2 mg/kg, 4mg/kg, 8mg/kg or 16 mg/kg. VHMW-glucan was diluted to the desired concentrations using endotoxin-free Phosphate Buffered Saline (PBS, Gibco Life Technologies, Grand Island, NY). Both single and multiple treatment regimens were evaluated.

10 *Bacteria.*

A methicillin-resistant *E. coli*, originally isolated from a clinical wound site, was kindly provided by Dr. Andrew B. Onderdonk (Channing Laboratory, Harvard Medical School, Boston, MA) (Onderdonk, *et al.*, *Infect. Immun.*, 60:1642-1647 (1992)). A frozen aliquot of stock bacteria was expanded in LB Broth (Sigma, St.

- 15 Louis, MO) with shaking for 7 hr at 37°C. In preliminary growth kinetic studies, these conditions were determined to consistently produce bacteria in late log-phase. To make the working *E. coli* stock, sterile glycerol was added to the bacteria to a final concentration of 20%. Three ml of *E. coli* were then aliquoted into sterile 5 ml cryovials, snap-frozen with liquid nitrogen, and stored at -80°C until use. Prior to
20 use in experiments, the colony-forming unit (CFU) concentration of the bacteria was determined by thawing 4-6 cryovials of bacteria, plating on LB agar, and scoring colonies after incubation at 37°C for 24 hours. To prepare bacterial inoculum for *in vivo* studies, stock cultures were diluted to the desired number of CFU/ml in PBS and administered to the mice intraperitoneally (IP) in a 0.5 ml volume.

25 *Collection of Blood, Peritoneal Exudate Fluid and Tissues.*

Mice were anesthetized with AErrane (Isoflurane® USP; Ohmeda Caribe, Inc., Puerto Rico) and peritoneal exudate fluid (PEF) collected by injecting mice IP with 5 ml Hank's buffered saline (HBSS), ~~gently massaging the abdomen, and then~~
withdrawing the fluid. After collecting the PEF, blood was collected from the

- 30 anesthetized animals by cardiac puncture. For cell counts and plating, the blood was immediately expelled into a 1.7 ml micro-centrifuge tube (Corning Costar Corporation, Cambridge, MA) containing 5 units of heparin (Elkins-Sinn, Inc.,

Cherry Hill, NJ). Total white blood cell (WBC), red blood cell (RBC), and platelet (PLT) counts were performed on a System 9010+ Hematology Analyzer (Biochem Immunosystems, Inc., Allentown, PA). For WBC differential analysis, smears of each blood sample were prepared and stained with Diff-Quik (Dade Diagnostics, Inc., Aguada, PR). The percentages of neutrophils, monocytes and lymphocytes were used to calculate absolute neutrophil counts, absolute monocyte counts, and absolute lymphocyte counts. For cytokine analysis, blood was expelled into non-heparin containing tubes, allowed to clot and centrifuged at 2500 rpm for 20 min. at 25°C. Sera were then collected, aliquoted and frozen at -80°C until use. After PEF and blood collection animals were euthanized by cervical dislocation, and the liver and spleen were removed, placed in sterile saline on ice until homogenized to plate for CFU content. Prior to homogenizing, the weight of each tissue was recorded. Each tissue was then placed in 2 ml of sterile saline in the base unit of a sterile glass homogenizer, the sterile glass homogenizing pestal inserted and attached to the homogenizer drive unit (Bellco Biotechnology, Vineland, NJ), and the drive unit turned on for approximately 60 sec. For cell function studies, rather than homogenizing, spleen/tissue cell suspensions were prepared by pressing spleens/tissues through a stainless steel mesh screen and washing cells from the screen with 6 ml of HBSS. PEF and spleen/tissue cell counts were performed on a Counter Counter (Coulter Electronics, Inc., Hialeah, FL). The specific cell content of samples was assessed in cytospin preparations stained with Diff-Quik.

Plating Blood, Peritoneal Exudate Fluid and Tissue Homogenates for CFU Content.

A 50 µl aliquot of each fluid or tissue homogenate was plated on LB agar plates undiluted, and at 1:10, 1:100, and 1:1000 dilutions. Plates were then incubated at 37°C for 24 hours and colonies scored. The log CFU/ml blood or PEF or log CFU/g of tissue was then calculated for each sample.

Oxidative Burst Assay

For oxidative burst assays, 50 µl of 1×10^8 /ml of PEF and spleen cells were suspended in HBSS containing 50 µl Lucigenin (0.05 mM) (Sigma Chemical Co., St. Louis, MO). 100 µl of the suspension was placed into triplicate wells of 96-well plates. The plates were covered and incubated at 37°C for 10 minutes. The cell suspension was then challenged with either Phorbol 12-myristate-13-acetate (PMA;

- Sigma Chemical Co., St. Louis, MO) at a final concentration of 10^{-6} M or 100 μ l of a 5 mg/ml solution of opsonized Zymosan A (Sigma Chemical)(opsonized according to Methods of Hasegawa, H., *et al.*, *J. Immun. Methods*, 210:1-10 (1997) and immediately placed in a 37°C Microtiter Place Luminometer (Dynatech Laboratories, Chantilly, VA) and read for 80 cycles with a 15 sec pause between cycles (approximately 90 min. total time). Data for oxidative burst activities are expressed as the area under the chemiluminescence intensity curves calculated by the luminometer software (Dynatech Laboratories, Chantilly, VA).

Cytokine Analysis

- Serum samples for cytokine analysis were collected at the specified times after VHMW-glucan treatment and cytokine levels quantitated by ELISA using commercial kits for detection of murine TNF- α , IL-1 β and IL-6 (R&D Systems, Minneapolis, MN). To obtain positive control sera, mice were intravenously (IV) administered lipopolysaccharide (LPS; *E. coli* 0127:B8; Sigma Chemical Co., St. Louis, MO) at a dose of 500 μ g/kg and serum samples collected at the same times as following VHMW-glucan administration.

B. Results

Establishing the E. coli Infection Model.

- Prior to initiating VHMW-glucan studies, preliminary studies determined the maximum *E. coli* challenge that could be administered to Balb/c mice without inducing lethality. Groups of 10 mice were injected IP with 1×10^5 , 1×10^6 , 1×10^7 , 1×10^8 or 1×10^9 *E. coli* CFU/mouse and survival monitored over a six day period. From these studies it was determined that 1×10^8 CFU/mouse was the highest nonlethal *E. coli* challenge dose. Preliminary studies also evaluated CFU clearance in mice challenged with this *E. coli* dose. These experiments demonstrated that, following challenge with 1×10^8 *E. coli*, CFU could be detected in spleen, blood, liver and peritoneal exudate fluid through day 6 after challenge.

Effects of Single Dose VHMW-glucan Therapy in Mice Infected with E. coli.

- Initial studies focused on evaluating the anti-infective effects of various VHMW-glucan doses administered IM 1 hr after *E. coli* challenge. Changes in splenic CFU levels were used as an indicator of efficacy in these initial studies. As

can be seen in Fig. 6, on day 1 after challenge, VHMW-glucan induced a dose dependent reduction in splenic CFU levels which was significant at 4 mg/kg and 8 mg/kg. Significant CFU reductions, however, were no longer evident by day 3 or day 6 after VHMW-glucan administration. Overall, the optimal VHMW-glucan dose appeared to be 8 mg/kg. In a subsequent experiment, the VHMW-glucan dose was increased to 16 mg/kg, however, effects were less than observed with the 8 mg/kg dose (data not shown) and subsequent studies were thus pursued with the 8 mg/kg dose.

Effects of Multiple Dose VHMW-glucan Therapy in Mice Infected with E. coli.

The results presented in Fig. 6 suggested that multiple doses of VHMW-glucan may be necessary to sustain CFU clearance at later days postchallenge. Hence, a series of studies were performed to compare the effects of 8 mg/kg of VHMW-glucan administered in multi-dose regimens. The first multi-dose regimen consisted of two VHMW-glucan treatments administered at +1 hr and +48 hr after challenge. Administration of the second VHMW-glucan dose at +48 hr sustained significantly enhanced CFU clearance through day 3, however, effects were again lost by day 6 after VHMW-glucan administration. The next experiment evaluated whether further increasing the frequency of VHMW-glucan treatment would further sustain or accelerate CFU clearance (Fig. 7). Compared to mice administered either one (+1 hr) or two (+1 hr and +48 hr) VHMW-glucan treatments, mice receiving VHMW-glucan at +1 hr and then every 12 hr to day 3 (6 treatments) or at +1 hr and then every 12 hr to day 6 (12 treatments) exhibited progressively enhanced splenic CFU clearance. Increasing the frequency of dosing also resulted in complete elimination of *E. coli* in a greater percentage of spleens (Fig. 8). Animals treated with the 8 mg/kg 6-treatment VHMW-glucan regimen were further evaluated for effects on CFU clearance in other tissues. Evaluation of CFU levels in the blood (Fig. 9), liver (Fig. 10) and peritoneal fluid (data not shown) revealed that VHMW-glucan treatment also significantly accelerated CFU clearance in these tissues. VHMW-glucan treatment also resulted in complete elimination of *E. coli* in a greater percent of these tissues than saline treatment (Figs. 11 and 12).

Effect of VHMW-glucan Treatment on WBC Counts.

To evaluate whether the anti-infective activity of VHMW-glucan was related to an ability to increase WBC numbers, blood cell counts were performed on days 1, 3 and 6 following the 8 mg/kg 6-treatment VHMW-glucan regimen in mice challenged with *E. coli*. Following *E. coli* challenge, WBC count appeared to be increased in VHMW-glucan treated animals only at day 6 post challenge (Fig. 13). However, based on differential analysis, an increase in absolute neutrophil counts (ANC) occurred on both day 3 and day 6 (Fig. 13 insert).

Effect of VHMW-glucan Treatment on Leukocyte Oxidative Burst Activity.

To evaluate whether VHMW-glucan treatment altered leukocyte oxidative microbicidal activity, mice challenged with *E. coli* were injected with the 8 mg/kg 6-dose VHMW-glucan regimen and PEF and spleen leukocytes evaluated for oxidative burst activity on days 1, 3 and 6 after challenge. Elevated oxidative burst activity was seen on day 3 with PEF cells and on days 1, 3 and 6 with spleen leukocytes (data not shown).

Effect of VHMW-glucan on Cytokine Release.

Proinflammatory cytokines have previously been shown to possess anti-infective activity (Holyoake, T.L., *Blood Rev.*, 10:189-200 (1996)). The effects of the 8 mg/kg 6-dose VHMW-glucan regimen on cytokine induction in mice challenged with *E. coli* was examined. Although *E. coli* challenge induced cytokine production, VHMW-glucan treatment did not further elevate TNF- α , IL-1 β or IL-6 levels in *E. coli* infected mice. See Figs. 14 to 16.

While this invention has been particularly shown and described with references to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by the appended claims.

CLAIMS

We claim:

1. An aqueous soluble β -glucan composition comprising β -glucan molecules having an average molecular weight of at least 1,000,000 daltons, as
5 determined by multi-angle laser light scattering.
2. The composition of Claim 1 wherein the glucan is capable of enhancing immune response without stimulating production of biochemical mediators that cause inflammatory side effects.
3. The composition of Claim 1 wherein the glucan is derived from yeast.
- 10 4. The composition of Claim 1 wherein the glucan is solubilized in a physiologically acceptable vehicle.
5. The composition of Claim 4 wherein the physiologically acceptable vehicle is selected from the group consisting of water, sterile saline, phosphate buffered saline, isotonic saline and dextrose.
- 15 6. The composition of Claim 1 wherein the composition is in the form of a liquid, tablet, gel, ointment, lotion, capsule, powder solution, emulsion or cream.
7. A method for inducing an immune response in an animal or human, comprising administering to the animal or human the aqueous soluble, β -
20 glucan composition of Claim 1, in an amount sufficient to induce an immune response.
8. A method for preventing infection in an animal or human that is at risk for infection, comprising administering to the animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient to prevent
25 infection.

9. A method for treating infection in an animal or human that is at risk of infection, comprising administering to the animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient to treat infection.
- 5 10. A method for stimulating repair and healing of a wound site on an animal or human comprising administering to the wound site the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for stimulating repair and healing of a wound site.
- 10 11. A method for stimulating wound healing and healing of infection associated therewith, comprising administering to the wound site the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for stimulating wound healing of infection associated therewith.
- 15 12. A method for enhancing the microbicidal activity of phagocytic cells comprising administering to a animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for enhancing the microbicidal activity of phagocytic cells.
13. A method for stimulating hematopoiesis comprising administering to an animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for stimulating hematopoiesis.
- 20 14. A method for stimulating the proliferation of monocytes and neutrophils in an animal or human, comprising administering to the animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for stimulating the proliferation of monocytes and neutrophils.
- 25 ~~15. A method for treating refractory infections comprising administering to an~~
animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for treating refractory infections.

16. A method for stimulating the production of platelet in an animal or human, comprising administering to the animal or human the aqueous soluble, β -glucan composition of Claim 1, in an amount sufficient for stimulating the production of platelet.
-

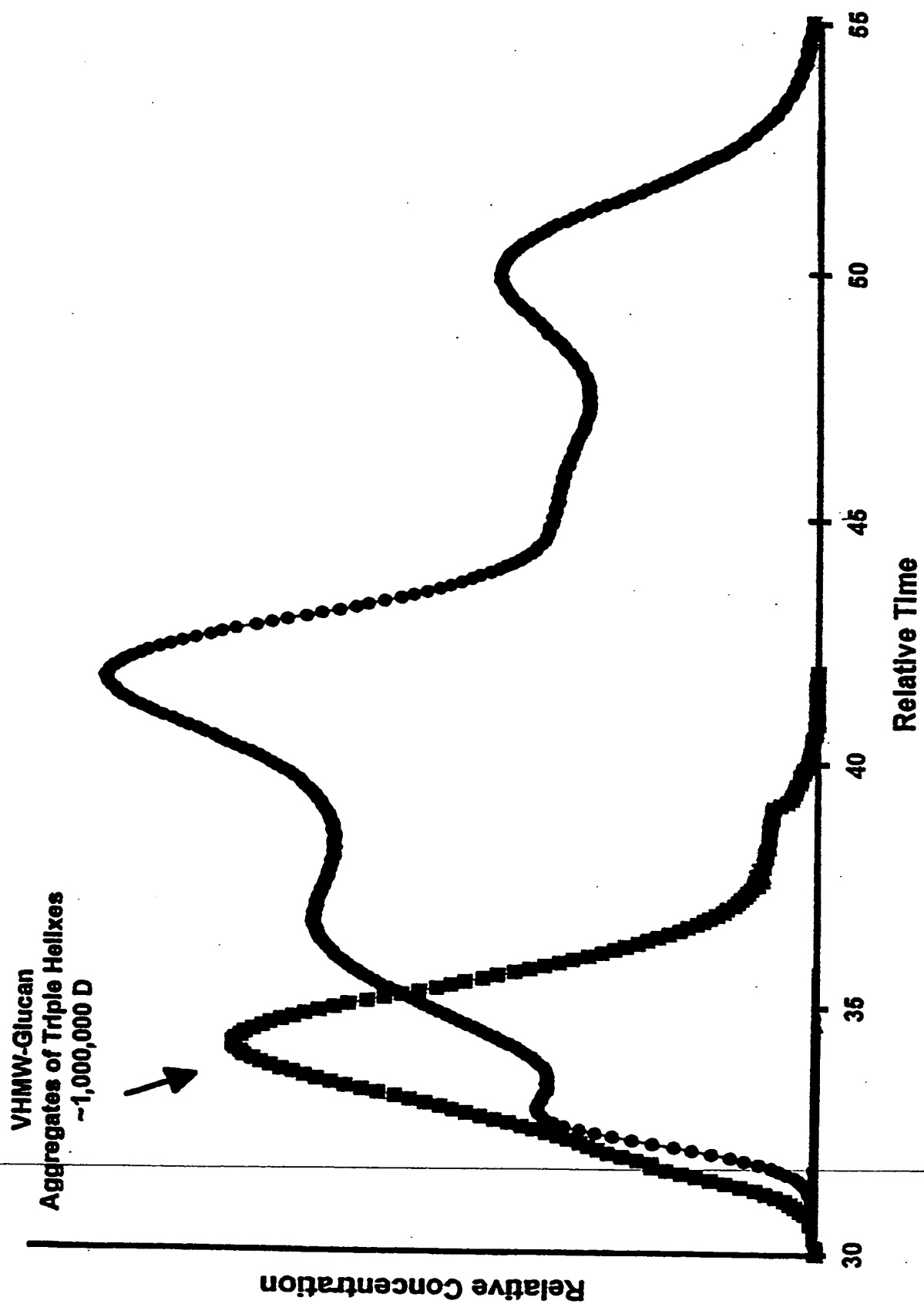


FIG. 1

2/11

Competition Binding

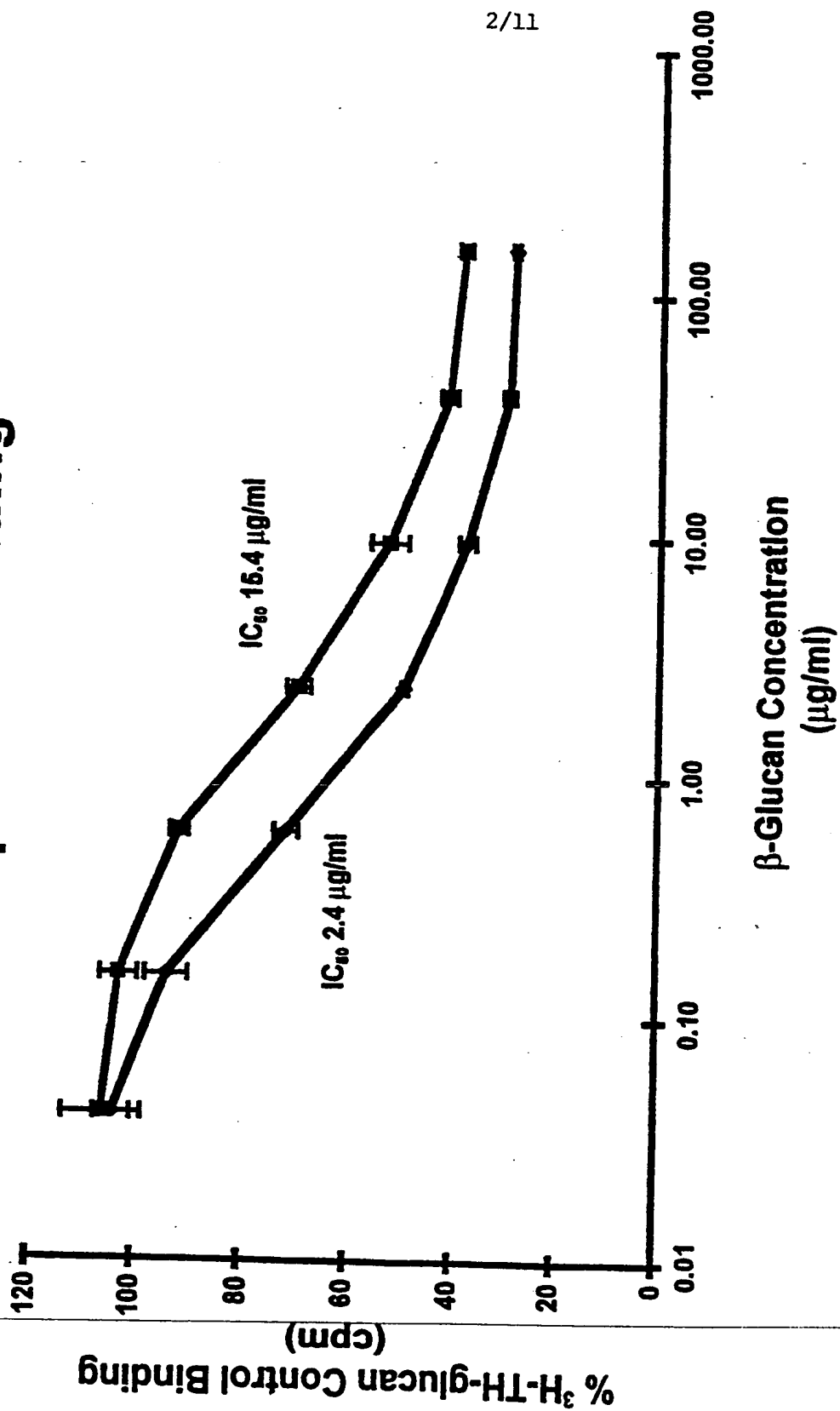


FIG. 2

3/11

Anti-Infective Activity

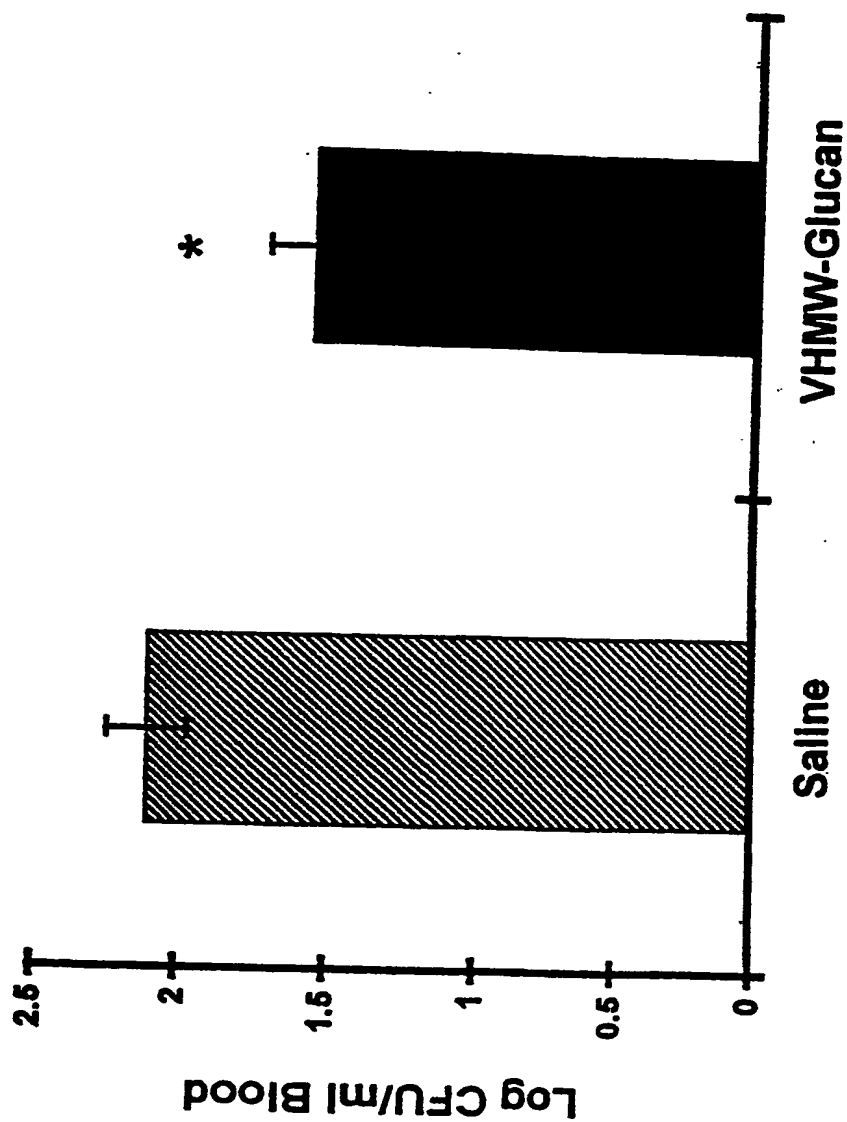


FIG. 3

WBC Hematopoietic Activity

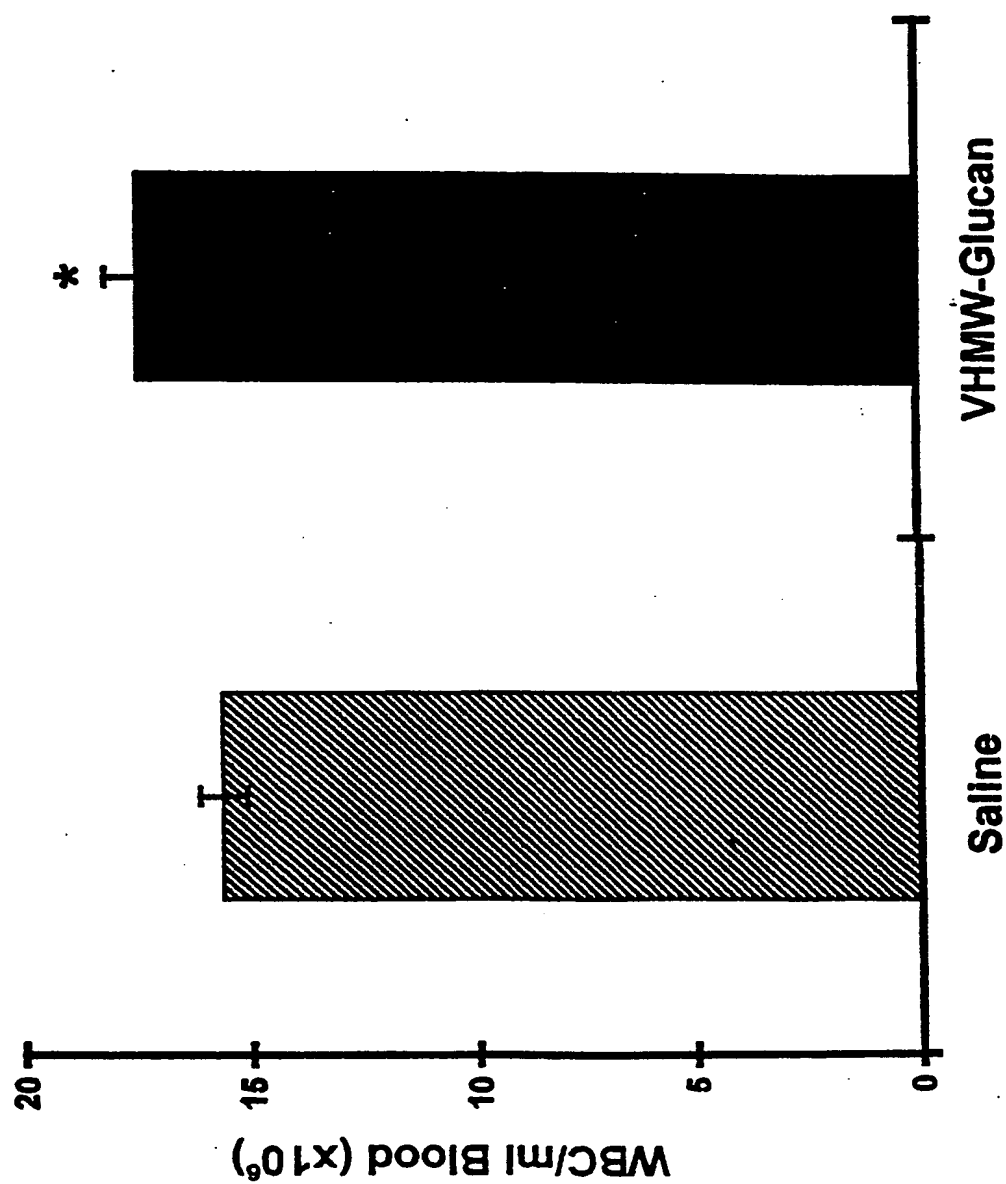


FIG. 4

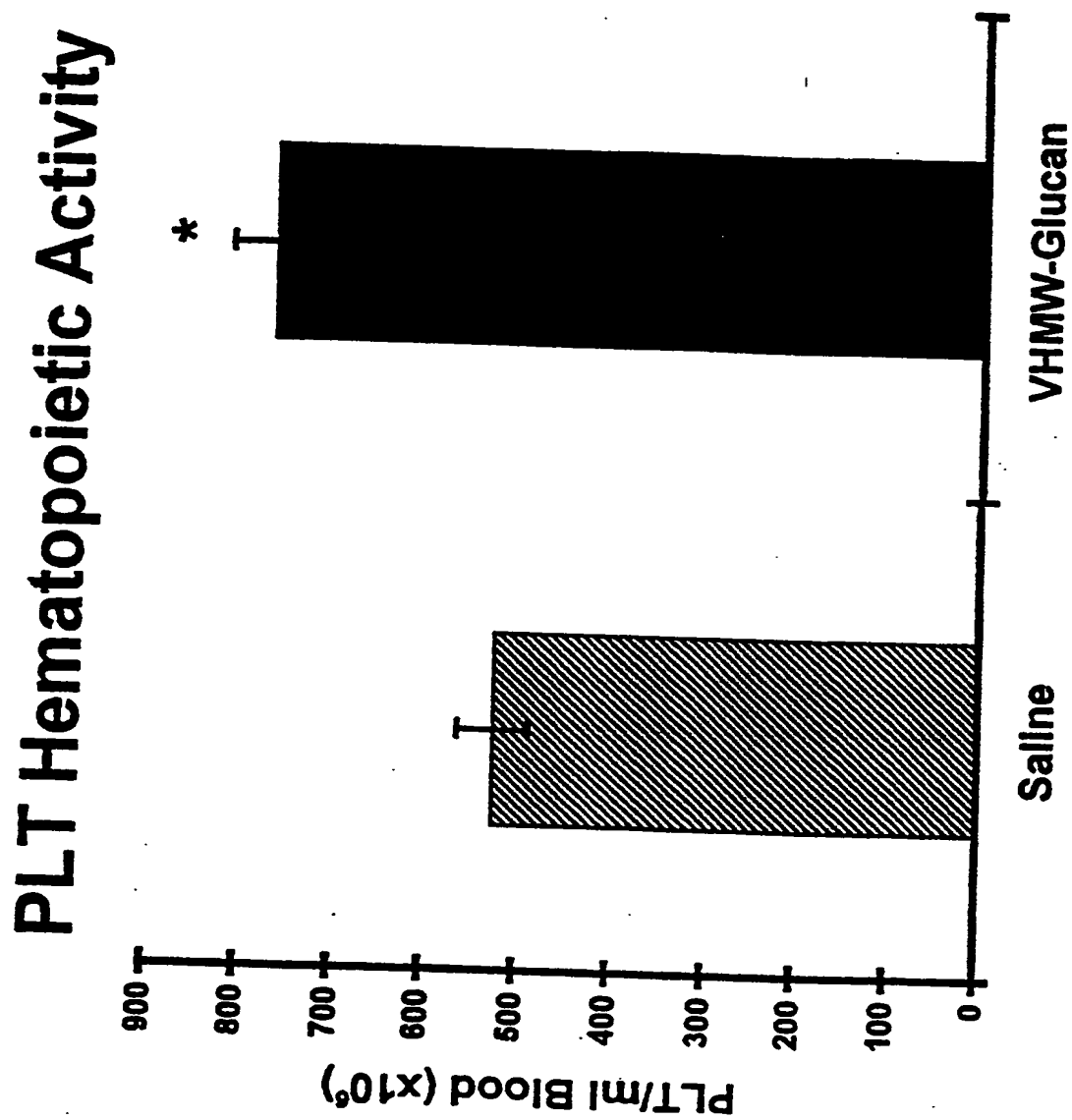


FIG. 5

6/11

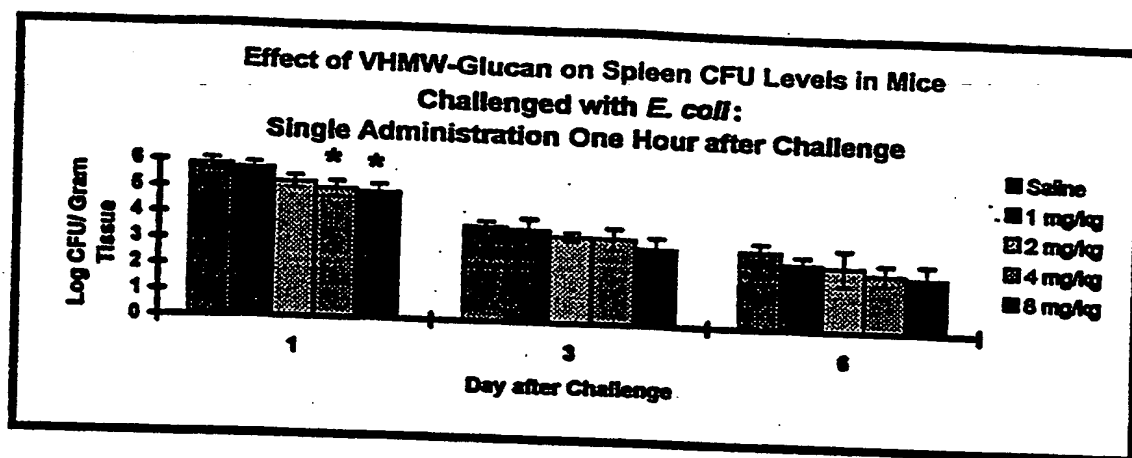


FIG. 6

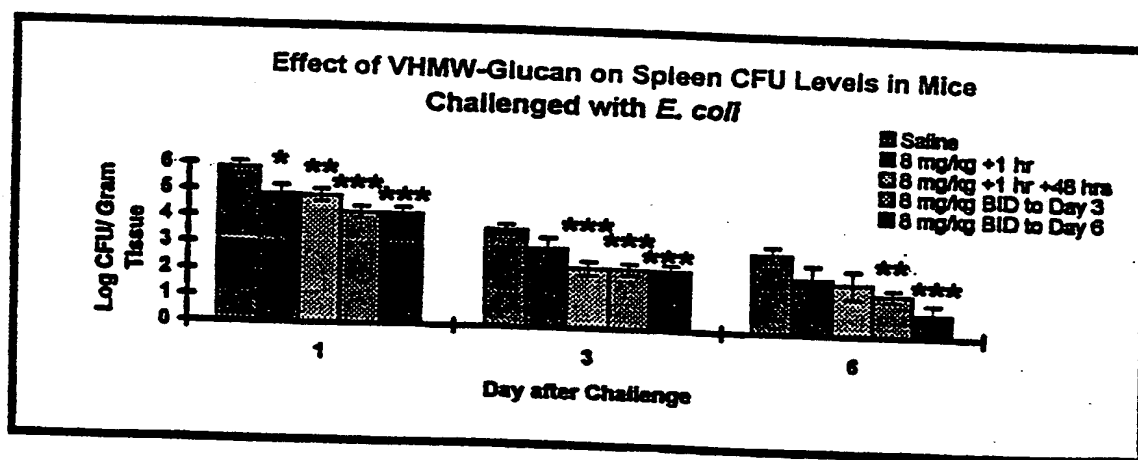


FIG. 7

7/11

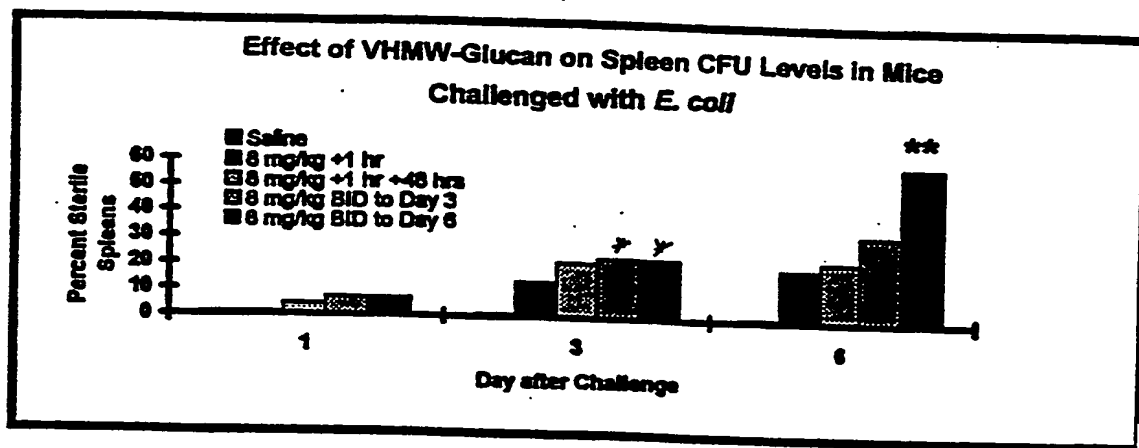


FIG. 8

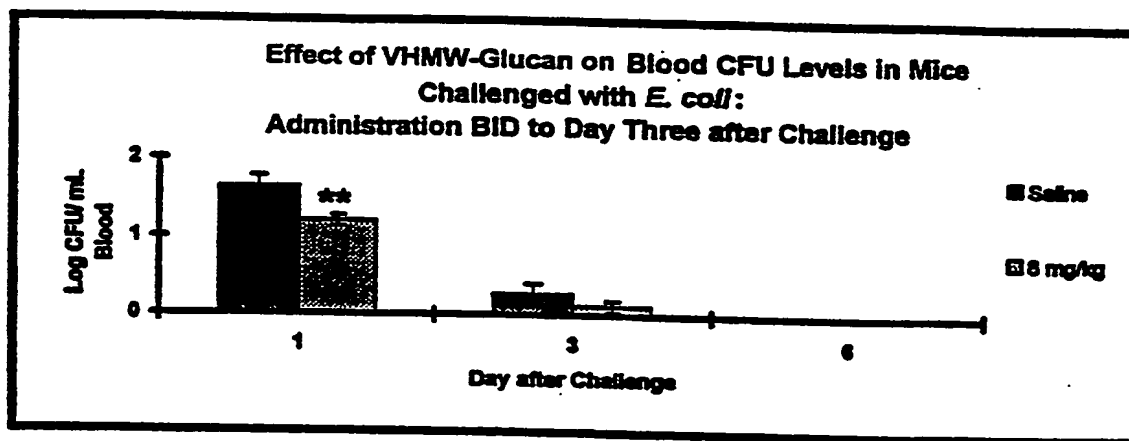


FIG. 9

8/11

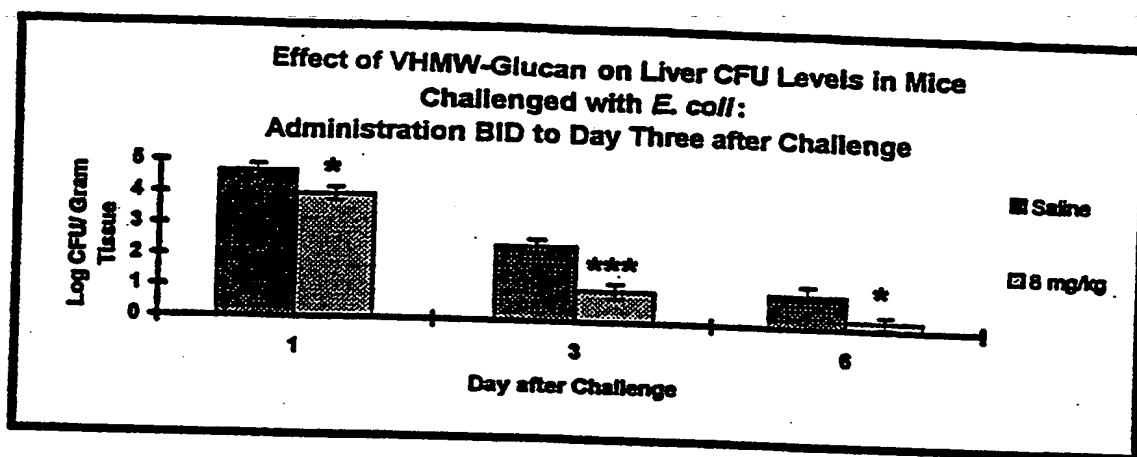


FIG. 10

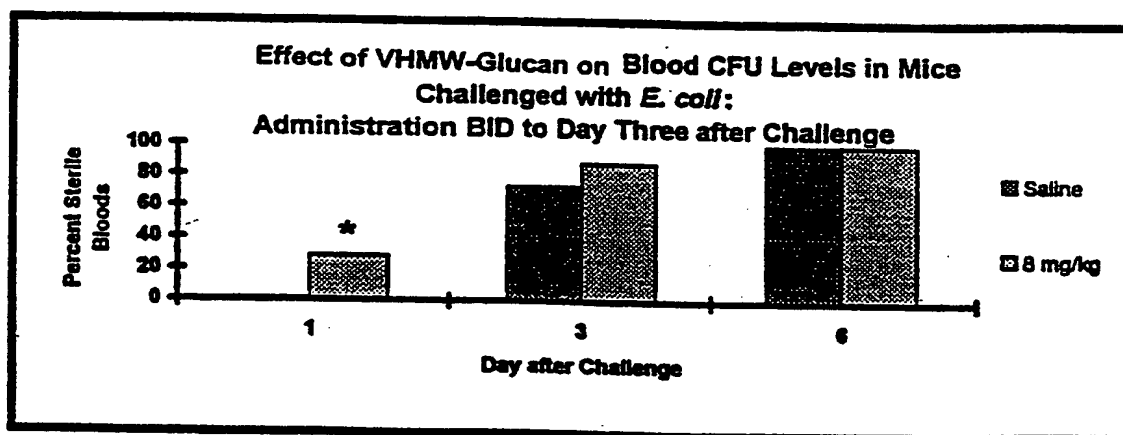


FIG. 11

9/11

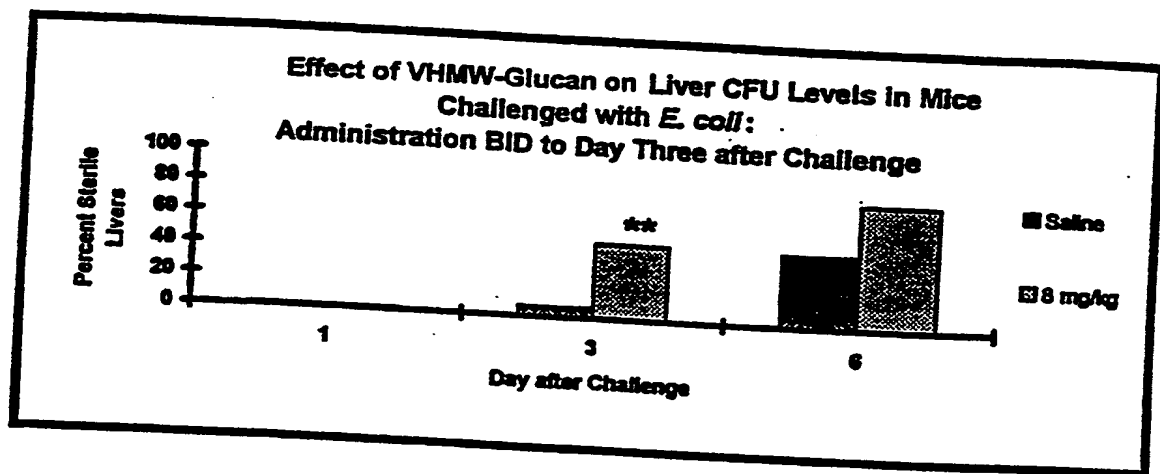


FIG. 12

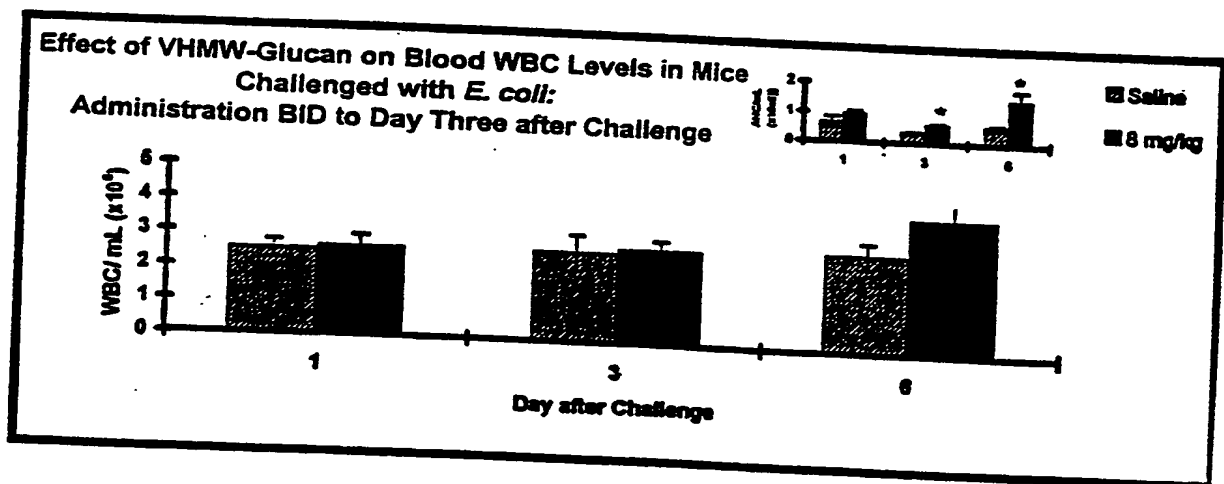


FIG. 13

10/11

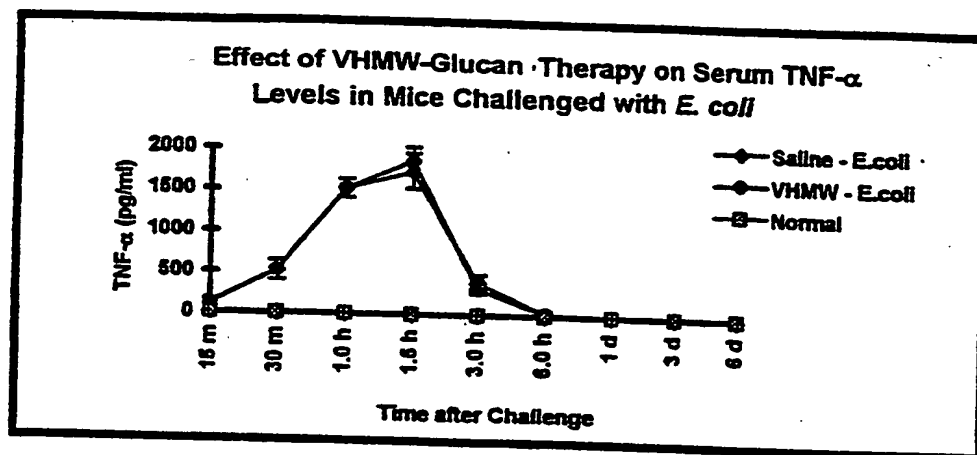


FIG. 14

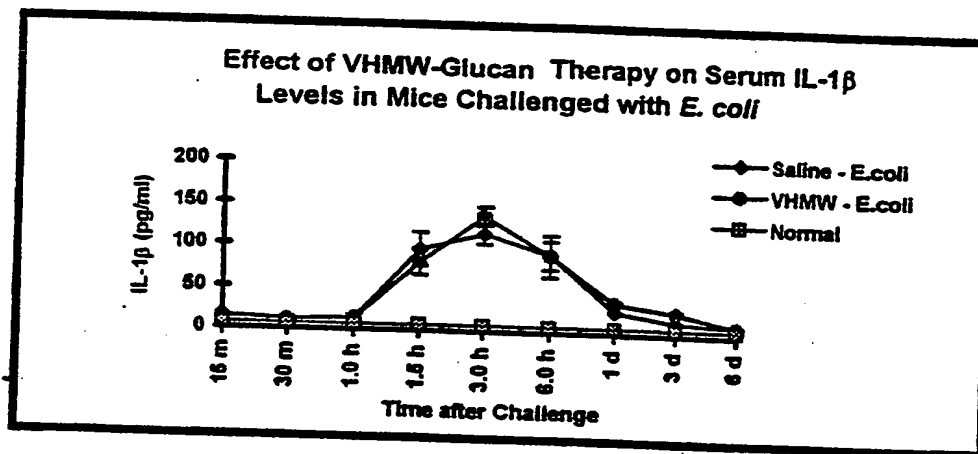


FIG. 15

11/11

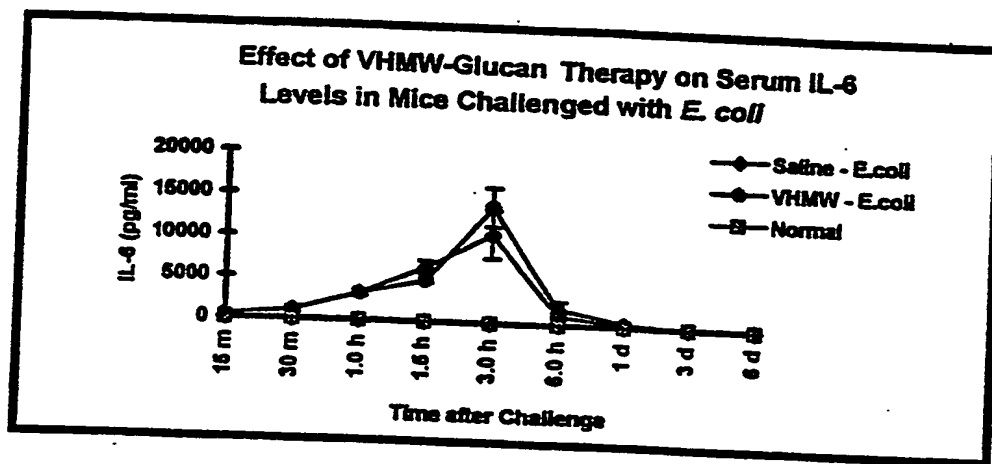


FIG. 16

INTERNATIONAL SEARCH REPORT

Int. National Application No.

PCT/US 99/22144

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K31/716 C08B37/00 C08L5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K C08B C08L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
------------	--	-----------------------

X	<p>MOERK A -C ET AL: "EFFECTS OF PARTICULATE AND SOLUBLE (1-3)-BETA-GLUCANS ON CA2+ INFLUX IN NR8383 ALVEOLAR MACROPHAGES" IMMUNOPHARMACOLOGY, XX, ELSEVIER SCIENCE PUBLISHERS BV, vol. 40, no. 1, July 1998 (1998-07), pages 77-89-89, XP000863236 ISSN: 0162-3109 page 78 -page 80; table 1</p>	1-7, 12
---	---	---------

Y	<p>--- -/-</p>	16
---	--------------------	----

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"S" document member of the same patent family

Date of the actual completion of the international search

4 February 2000

Date of mailing of the international search report

14/02/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Radke, M

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/22144

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WILLIAMS D L ET AL: "DEVELOPMENT OF NATURAL PRODUCT (1 3)-BETA-D-GLUCAN POLYMERS AS IMMUNE-STIMULATING PHARMACEUTICALS"</p> <p>POLYMERS FOR ADVANCED TECHNOLOGIES,GB,JOHN WILEY AND SONS, CHICHESTER, vol. 5, no. 9, 1 September 1994 (1994-09-01), pages 529-534, XP000484300</p> <p>ISSN: 1042-7147</p> <p>page 529 -page 532; table 1</p>	1-11, 13, 15
X	<p>JANUSZ M J ET AL: "ISOLATION OF SOLUBLE YEAST BETA-GLUCANS THAT INHIBIT HUMAN MONOCYTE PHAGOCYTOSIS MEDIATED BY BETA-GLUCAN RECEPTORS"</p> <p>JOURNAL OF IMMUNOLOGY,US,THE WILLIAMS AND WILKINS CO. BALTIMORE, vol. 137, no. 10, 15 November 1986 (1986-11-15), pages 3270-3276, XP002039890</p> <p>ISSN: 0022-1767</p> <p>page 3270</p> <p>page 3275</p>	1-7
X	<p>KULICKE W -M ET AL: "CORRELATION BETWEEN IMMUNOLOGICAL ACTIVITY, MOLAR MASS, AND MOLECULAR STRUCTURE OF DIFFERENT (1 - 3)-BETA-D-GLUCANS"</p> <p>CARBOHYDRATE RESEARCH,NL,ELSEVIER SCIENTIFIC PUBLISHING COMPANY. AMSTERDAM, vol. 297, no. 2, 2 January 1997 (1997-01-02), pages 135-143, XP000642601</p> <p>ISSN: 0008-6215</p> <p>figure 7; table 1</p>	1-9, 15
X	<p>EP 0 322 393 A (WESTON GEORGE LTD)</p> <p>28 June 1989 (1989-06-28)</p> <p>page 4, line 19,20; claim 1</p>	1
Y	<p>US 5 783 569 A (JAMAS SPIROS ET AL)</p> <p>21 July 1998 (1998-07-21)</p> <p>cited in the application</p> <p>claims 1-3,8,26,32,39,45,51,57,58,61; example 11</p>	16
A		1-15

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/22144

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0322393	A	28-06-1989	FI 885955 A	24-06-1989
			JP 2110101 A	23-04-1990
US 5783569	A	21-07-1998	US 5622939 A	22-04-1997
			US 5817643 A	06-10-1998
			AU 4095397 A	18-12-1997
			AU 679690 B	10-07-1997
			AU 5086893 A	15-03-1994
			BR 9307104 A	30-03-1999
			CA 2142811 A	03-03-1994
			EP 0655921 A	07-06-1995
			FI 950770 A	20-02-1995
			HU 72418 A	29-04-1996
			JP 6107702 A	19-04-1994
			JP 8500623 T	23-01-1996
			NO 950634 A	20-04-1995
			NZ 255857 A	28-10-1996
			PL 307567 A	29-05-1995
			US 5488040 A	30-01-1996
			WO 9404163 A	03-03-1994
			US 5532223 A	02-07-1996

This Page Blank (uspto)

This Page is inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images
problems checked, please do not report the
problems to the IFW Image Problem Mailbox**

This Page Blank (uspto)